

Electronic Acknowledgement Receipt

EFS ID:	1647470
Application Number:	09802169
International Application Number:	
Confirmation Number:	1150
Title of Invention:	Automated order book with crowd price improvement
First Named Inventor/Applicant Name:	Christopher Keith
Customer Number:	52531
Filer:	Kevan L Morgan/Janet Berdine
Filer Authorized By:	Kevan L Morgan
Attorney Docket Number:	IVEN125473
Receipt Date:	02-APR-2007
Filing Date:	08-MAR-2001
Time Stamp:	19:45:50
Application Type:	Utility

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 1240
RAM confirmation Number	1255
Deposit Account	031740
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	25473_RCE_sb0030e_fill.pdf	683209	no	3
Warnings:					
Information:					
2		25473_Amendment_After_Final.pdf	79415	yes	20
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Specification		2	2	
	Claims		3	8	
	Applicant Arguments/Remarks Made in an Amendment		9	20	
Warnings:					
Information:					
3	Miscellaneous Incoming Letter	25473_Change_of_Status.pdf	12667	no	1
Warnings:					
Information:					
4	Information Disclosure Statement (IDS) Filed	25473_US_IDS_Form__SB_08a.pdf	1083116	no	8
Warnings:					
Information:					
5	NPL Documents	Board_of_Trade_of_Chicago.pdf	607058	no	5
Warnings:					
Information:					
6	NPL Documents	Hull.pdf	1007057	no	12
Warnings:					
Information:					
7	NPL Documents	Livingston.pdf	295798	no	6

Warnings:					
Information:					
8	NPL Documents	More_Companies.pdf	134702	no	3
Warnings:					
Information:					
9	NPL Documents	Options.pdf	418133	no	3
Warnings:					
Information:					
10	NPL Documents	Streamer_Free.pdf	73659	no	2
Warnings:					
Information:					
11	Fee Worksheet (PTO-06)	fee-info.pdf	8315	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			4403129		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					